



Women In Securities

MASTER CLASS: LESSONS IN BUILDING A PRACTICE

Thursday, July 22, 2021

SPEAKERS

Joan McKown, Partner, Jones Day; former Chief Counsel of the SEC's Division of Enforcement. Joan has more than 30 years of experience in Securities and Exchange Commission (SEC) enforcement and financial regulatory matters including investigations, exams, internal investigations, and disputes throughout the United States. Prior to joining Jones Day in 2010, Joan was the longest serving chief counsel in the Division of Enforcement at the SEC, where she played a key role in establishing enforcement policies and worked closely with Commission and senior SEC staff. She joined the SEC after a federal appellate court clerkship and served at the SEC (1986-2010) in various positions including Branch Chief and Assistant Director before being named Chief Counsel of the Division of Enforcement in 1993. Joan literally wrote the book on SEC enforcement when she oversaw creation of the first version of the *SEC Enforcement Manual*. She has in-depth knowledge of investigatory issues relating to financial fraud, corporate disclosure, corporate governance, accounting, compliance, private equity, Foreign Corrupt Practices Act (FCPA), broker dealer, investment adviser, investment companies, and insider trading.

Susan Resley, Partner, Morgan, Lewis & Bockius; former Enforcement Attorney in the SEC's Los Angeles Regional Office. Susan serves as deputy practice leader of the firm's securities enforcement and litigation practice. She has been recognized as one of the Top Women Lawyers in California in 2019 by the *Daily Journal* and recommended by *The Legal 500 US* in White Collar Criminal Defense, Corporate Investigations, and Securities Litigation. After serving as an intern in the SEC's Los Angeles Regional Office, Susan began her career as a Staff Attorney in the LARO. After leaving the SEC, Susan joined Gray Cary Ware & Freidenrich in Silicon Valley and, in 2005, joined Orrick as a securities litigation partner in Menlo Park. In 2012, Susan joined Morgan, Lewis & Bockius as a partner where she represents companies, boards and their committees, directors, senior officers, and employees, as well as accounting firms, brokerage and investment advisory firms, and other financial institutions in proceedings brought by the SEC, DOJ and PCAOB and in securities and shareholder derivative actions.

Linda Chatman Thomsen, Counsel, Davis Polk & Wardwell; former Director of the SEC's Division of Enforcement. Linda is the first woman to serve as the Director of the SEC's Division of Enforcement (2005-2009) where she led a historic period of SEC law enforcement during which the SEC

brought more than 2,000 enforcement actions and returned billions of dollars to harmed investors. In 2005, she was named Enforcement Director by Chairman William H. Donaldson, after joining the SEC staff as an Assistant Chief Litigation Counsel in 1994 and serving as an Assistant Director, an Associate Director and Deputy Director of the Division. Linda led many precedent-setting enforcement actions including the Enron investigation, the historic auction rate securities market settlements, a major expansion of the SEC's FCPA enforcement, numerous subprime and financial cases and stock options backdating cases. In addition, under Linda's leadership the Division of Enforcement brought hundreds of financial fraud cases, including those against AIG, Fannie Mae, Nortel and Tyco. Before joining the SEC, Linda was in private practice at Davis Polk & Wardwell and also served as an Assistant U.S. Attorney for the District of Maryland. After leaving the SEC in 2009, Linda returned to Davis Polk as a partner where she has been at the forefront of defending clients in high-profile matters relating to high-frequency trading, mortgage-backed securities, the "princelings" investigations, market disruptions and FCPA violations.

Shirli Fabbri Weiss, Retired Partner, DLA Piper. Shirli is a leading securities and class action consumer defense litigation lawyer with over 35 years' experience representing clients in civil litigation and government investigations in a broad array of industries. She is described as "a pioneer" and "a terrific lawyer" who is "[t]enacious, smart" and a "highly effective securities litigator." Shirli was named the Best Lawyers' 2017 Lawyer of the Year, Bet-the-Company Litigation, San Diego, CC and 2016 San Diego Litigation-Securities *Lawyer of the Year*. She is listed in the *Annual Guide to Bet-the-Company Litigation*, *The Best Lawyers of America* and *Lawdragon* listed her among The 100 Lawyers You Need to Know in Securities Litigation. In 1977, Shirli began her career at Gray Cary Ames & Frye which was known as a litigation powerhouse in San Diego and became a partner in 1985. In 1995, Gray Cary merged with Ware & Freidenrich, a boutique firm in Silicon Valley with a large tech company base. Shirli's career tracks the major trends in securities litigation over the last 30 years. She led the first class action victory under the then-newly passed-Private Securities Litigation Reform Act of 1996; defended over 100 directors and officers and about 25 issuers who had been sued in the IPO Laddering cases in the late 90's and early 2000's during the internet stock meltdown; represented dozens of parties in the options backdating cases; and defended the CFO of Countrywide Financial Corp. In 2004, Gray Cary merged with Piper Rudnick and in 2005, combined with international law firm DLA to form DLA Piper. Most recently, Shirli and her team defeated class certification in the *Finisar* securities class action in the U.S. District Court (NDCA) and was granted judgment on the pleadings, dismissing the case.

MODERATOR

Jina Choi, Partner, Morrison & Foerster LLP; former Director of the SEC's San Francisco Regional Office. Prior to joining Morrison & Foerster in 2019, Jina served in the SEC's San Francisco Regional Office for over 16 years, where she ultimately served as the Director of the SFRO from 2013-2018. After law school, Jina served as a law clerk for the Honorable Robert P. Patterson, Jr., U.S. District Court, SDNY. She was a corporate associate at Davis Polk & Wardwell in New York and then served as a Trial Attorney in the U.S. Department of Justice, Civil Rights Division. She also served as an Assistant U.S. Attorney in the Northern District of Texas. Jina now represents public and pre-IPO companies, financial institutions, asset management firms, boards of directors, audit and special committees, and individuals in internal investigations, SEC and other government investigations, enforcement-related litigation, as well as compliance-related issues.

ABOUT WISe

In the Fall of 2012, a group of women who have collectively practice securities litigation defense for over a century and in nearly a thousand cases decided to form a new network for women securities litigators, Women in Securities. The network is designed to encourage the growing number of women in our field and to ensure that women continue to play a leadership role in the future of securities litigation. We seek to promote the development, advancement, mentoring and education of women securities litigators and provide a means for networking and business development. We look forward to expanding the network and welcome your participation.



WISe STEERING COMMITTEE

- **Sara Brody** (Partner, Sidley Austin)
- **Jina Choi** (Partner, Morrison & Foerster)
- **Sarah Good** (Partner, Chief Talent and Inclusion Officer, Farella Braun + Martel LLP)
- **Meredith Landy** (Former Partner, O'Melveny & Myers LLP)
- **Nicki Locker** (Partner, Wilson Sonsini)
- **Susan Muck** (Partner, WilmerHale)
- **Susan Resley** (Partner, Morgan, Lewis & Bockius LLP)
- **Charlene (Chuck) Shimada** (Partner, Morgan, Lewis & Bockius LLP)
- **Shirli Weiss** (Retired Partner, DLA Piper)
- **Anna Erickson White** (Partner, Morrison & Foerster)

UPCOMING EVENT

Master Class: Lessons in Building a Practice

Thursday, July 22, 2021

12:00 p.m. – 1:00 p.m. PDT / 3:00 p.m. – 4:00 p.m. EDT

HOW TO BECOME A WISe MEMBER

If you are not yet a member and would like to join WISe, please contact **Chuck Shimada** at 415.442.1475 or charlene.shimada@morganlewis.com.



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